

REQUEST / RECOMMENDATION COMPARISON SUMMARY**414 SECURITIES DEPARTMENT****Biennium: 2007-2009****Bill#: SB2011****Date: 12/14/2006****Time: 08:41:57**

Description	Expenditures Prev Biennium 2003-2005	Present Budget 2005-2007	2007-2009 Requested		Requested Budget 2007-2009	2007-2009 Recommended		Executive Recommendation 2007-2009
			Incr(Decr)	% Chg		Incr(Decr)	% Chg	
BY MAJOR PROGRAM								
INVESTOR PROTECTION	1,323,914	1,676,443	19,226	1.1%	1,695,669	103,558	6.2%	1,780,001
TOTAL MAJOR PROGRAMS	1,323,914	1,676,443	19,226	1.1%	1,695,669	103,558	6.2%	1,780,001
BY LINE ITEM								
SALARIES AND WAGES	924,630	1,105,588	14,770	1.3%	1,120,358	99,102	9.0%	1,204,690
OPERATING EXPENSES	399,284	570,855	4,456	.8%	575,311	4,456	.8%	575,311
TOTAL LINE ITEMS	1,323,914	1,676,443	19,226	1.1%	1,695,669	103,558	6.2%	1,780,001
BY FUNDING SOURCE								
GENERAL FUND	1,070,726	1,459,244	19,226	1.3%	1,478,470	103,558	7.1%	1,562,802
FEDERAL FUNDS	0	0	0	.0%	0	0	.0%	0
SPECIAL FUNDS	253,188	217,199	0	.0%	217,199	0	.0%	217,199
TOTAL FUNDING SOURCE	1,323,914	1,676,443	19,226	1.1%	1,695,669	103,558	6.2%	1,780,001
TOTAL FTE								
	8.00	9.00	.00	.0%	9.00	.00	.0%	9.00

REQUEST / RECOMMENDATION COMPARISON DETAIL**414 SECURITIES DEPARTMENT****Bill#: SB2011****Date: 12/14/2006****Time: 08:41:57****Biennium: 2007-2009**

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			Incr(Decr)	% Chg		Incr(Decr)	% Chg	
SALARIES AND WAGES								
SALARIES - PERMANENT	712,364	840,683	11,155	1.3%	851,838	11,155	1.3%	851,838
TEMPORARY SALARIES	0	0	0	.0%	0	0	.0%	0
OVERTIME	0	1,200	0	.0%	1,200	0	.0%	1,200
FRINGE BENEFITS	212,266	263,705	3,615	1.4%	267,320	27,393	10.4%	291,098
SALARY INCREASE	0	0	0	.0%	0	51,791	100.0%	51,791
BENEFIT INCREASE	0	0	0	.0%	0	8,763	100.0%	8,763
TOTAL	924,630	1,105,588	14,770	1.3%	1,120,358	99,102	9.0%	1,204,690
SALARIES AND WAGES								
GENERAL FUND	924,630	1,105,588	14,770	1.3%	1,120,358	99,102	9.0%	1,204,690
FEDERAL FUNDS	0	0	0	.0%	0	0	.0%	0
SPECIAL FUNDS	0	0	0	.0%	0	0	.0%	0
TOTAL	924,630	1,105,588	14,770	1.3%	1,120,358	99,102	9.0%	1,204,690
OPERATING EXPENSES								
TRAVEL	57,042	55,800	4,456	8.0%	60,256	4,456	8.0%	60,256
SUPPLIES - IT SOFTWARE	2,078	3,905	3,837	98.3%	7,742	3,837	98.3%	7,742
SUPPLY/MATERIAL-PROFESSIONAL	85,766	90,400	0	.0%	90,400	0	.0%	90,400
BLDG, GROUND, MAINTENANCE	200	300	0	.0%	300	0	.0%	300
MISCELLANEOUS SUPPLIES	578	400	0	.0%	400	0	.0%	400
OFFICE SUPPLIES	11,151	11,800	0	.0%	11,800	0	.0%	11,800
POSTAGE	9,401	9,400	0	.0%	9,400	0	.0%	9,400
PRINTING	32,965	17,100	0	.0%	17,100	0	.0%	17,100
IT EQUIP UNDER \$5,000	6,079	31,350	-19,080	-60.9%	12,270	-19,080	-60.9%	12,270
OFFICE EQUIP & FURN SUPPLIES	6,583	7,000	0	.0%	7,000	0	.0%	7,000
INSURANCE	2,020	1,532	0	.0%	1,532	0	.0%	1,532
RENTALS/LEASES-EQUIP & OTHER	6,298	9,600	0	.0%	9,600	0	.0%	9,600
RENTALS/LEASES - BLDG/LAND	630	13,200	0	.0%	13,200	0	.0%	13,200
REPAIRS	2,490	3,865	0	.0%	3,865	0	.0%	3,865
IT - DATA PROCESSING	26,666	28,144	13,330	47.4%	41,474	13,330	47.4%	41,474
IT-COMMUNICATIONS	14,030	16,100	2,020	12.5%	18,120	2,020	12.5%	18,120
IT CONTRACTUAL SERVICES AND RE	5,342	6,200	-107	-1.7%	6,093	-107	-1.7%	6,093
PROFESSIONAL DEVELOPMENT	43,647	44,335	0	.0%	44,335	0	.0%	44,335
OPERATING FEES AND SERVICES	57,838	50,624	0	.0%	50,624	0	.0%	50,624
FEES - PROFESSIONAL SERVICES	28,480	169,800	0	.0%	169,800	0	.0%	169,800
TOTAL	399,284	570,855	4,456	.8%	575,311	4,456	.8%	575,311

REQUEST / RECOMMENDATION COMPARISON DETAIL**414 SECURITIES DEPARTMENT****Bill#: SB2011****Date: 12/14/2006****Time: 08:41:57****Biennium: 2007-2009**

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			Incr(Decr)	% Chg		Incr(Decr)	% Chg	
OPERATING EXPENSES								
GENERAL FUND	146,096	353,656	4,456	1.3%	358,112	4,456	1.3%	358,112
FEDERAL FUNDS	0	0	0	.0%	0	0	.0%	0
SPECIAL FUNDS	253,188	217,199	0	.0%	217,199	0	.0%	217,199
TOTAL	399,284	570,855	4,456	.8%	575,311	4,456	.8%	575,311
FUNDING SOURCES								
GENERAL FUND	1,070,726	1,459,244	19,226	1.3%	1,478,470	103,558	7.1%	1,562,802
FEDERAL FUNDS	0	0	0	.0%	0	0	.0%	0
SPECIAL FUNDS	253,188	217,199	0	.0%	217,199	0	.0%	217,199
TOTAL FUNDING SOURCES	1,323,914	1,676,443	19,226	1.1%	1,695,669	103,558	6.2%	1,780,001

CHANGE PACKAGE SUMMARY**414 SECURITIES DEPARTMENT****Biennium: 2007-2009****Bill#: HB1011****Date: 12/14/2006****Time: 08:41:57**

Description	FTE	General Fund	Federal Funds	Special Funds	Total Funds
Cost To Continue	.00	99,102	0	0	99,102
1 IT Infrastructure - Increase costs	.00	5,857	0	0	5,857
2 IT New/On-Going Initiative - Document Solutions	.00	13,330	0	0	13,330
3 Exams, Enforcement & Training	.00	4,456	0	0	4,456
4 Fargo Office Adjust Expenses	.00	-107	0	0	-107
6 Retirement	.00	0	0	0	0
7 Four Year Replacement Cycle	.00	-19,080	0	0	-19,080
Agency Total	.00	103,558	0	0	103,558

RECOMMENDATION DETAIL BY PROGRAM**414 SECURITIES DEPARTMENT****Biennium: 2007-2009****Bill#: SB2011****Date: 12/14/2006****Time: 08:41:57**

Program: INVESTOR PROTECTION		Reporting Level: 00-414-100-00-00-00-00000000			
Description	Expenditures 2003-2005 Biennium	Present Budget 2005-2007	Budget Request Change	Requested Budget 2007-2009 Biennium	Executive Recommendation 2007-2009
SALARIES AND WAGES					
SALARIES - PERMANENT	712,364	840,683	11,155	851,838	851,838
TEMPORARY SALARIES	0	0	0	0	0
OVERTIME	0	1,200	0	1,200	1,200
FRINGE BENEFITS	212,266	263,705	3,615	267,320	291,098
SALARY INCREASE	0	0	0	0	51,791
BENEFIT INCREASE	0	0	0	0	8,763
TOTAL	924,630	1,105,588	14,770	1,120,358	1,204,690
SALARIES AND WAGES					
GENERAL FUND	924,630	1,105,588	14,770	1,120,358	1,204,690
FEDERAL FUNDS	0	0	0	0	0
SPECIAL FUNDS	0	0	0	0	0
TOTAL	924,630	1,105,588	14,770	1,120,358	1,204,690
OPERATING EXPENSES					
TRAVEL	57,042	55,800	4,456	60,256	60,256
SUPPLIES - IT SOFTWARE	2,078	3,905	3,837	7,742	7,742
SUPPLY/MATERIAL-PROFESSIONAL	85,766	90,400	0	90,400	90,400
BLDG, GROUND, MAINTENANCE	200	300	0	300	300
MISCELLANEOUS SUPPLIES	578	400	0	400	400
OFFICE SUPPLIES	11,151	11,800	0	11,800	11,800
POSTAGE	9,401	9,400	0	9,400	9,400
PRINTING	32,965	17,100	0	17,100	17,100
IT EQUIP UNDER \$5,000	6,079	31,350	-19,080	12,270	12,270
OFFICE EQUIP & FURN SUPPLIES	6,583	7,000	0	7,000	7,000
INSURANCE	2,020	1,532	0	1,532	1,532
RENTALS/LEASES-EQUIP & OTHER	6,298	9,600	0	9,600	9,600
RENTALS/LEASES - BLDG/LAND	630	13,200	0	13,200	13,200
REPAIRS	2,490	3,865	0	3,865	3,865
IT - DATA PROCESSING	26,666	28,144	13,330	41,474	41,474
IT-COMMUNICATIONS	14,030	16,100	2,020	18,120	18,120
IT CONTRACTUAL SERVICES AND RE	5,342	6,200	-107	6,093	6,093
PROFESSIONAL DEVELOPMENT	43,647	44,335	0	44,335	44,335

RECOMMENDATION DETAIL BY PROGRAM

414 SECURITIES DEPARTMENT

Biennium: 2007-2009

Bill#: SB2011

Date: 12/14/2006

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Program: INVESTOR PROTECTION		Reporting Level: 00-414-100-00-00-00-00000000			
Description	Expenditures 2003-2005 Biennium	Present Budget 2005-2007	Budget Request Change	Requested Budget 2007-2009 Biennium	Executive Recommendation 2007-2009
OPERATING FEES AND SERVICES	57,838	50,624	0	50,624	50,624
FEES - PROFESSIONAL SERVICES	28,480	169,800	0	169,800	169,800
TOTAL	399,284	570,855	4,456	575,311	575,311
OPERATING EXPENSES					
GENERAL FUND	146,096	353,656	4,456	358,112	358,112
FEDERAL FUNDS	0	0	0	0	0
SPECIAL FUNDS	253,188	217,199	0	217,199	217,199
TOTAL	399,284	570,855	4,456	575,311	575,311
PROGRAM FUNDING SOURCES					
SPECIAL FUNDS	253,188	217,199	0	217,199	217,199
GENERAL FUND	1,070,726	1,459,244	19,226	1,478,470	1,562,802
FEDERAL FUNDS	0	0	0	0	0
PROGRAM FUNDING TOTAL	1,323,914	1,676,443	19,226	1,695,669	1,780,001
FTE EMPLOYEES	8.00	9.00	.00	9.00	9.00
FUNDING DETAIL					
GENERAL FUND	1,070,726	1,459,244	19,226	1,478,470	1,562,802
SPECIAL FUNDS					
244 INVESTOR EDUCATION & TECHNOLOGY	253,188	217,199	0	217,199	217,199
TOTAL	253,188	217,199	0	217,199	217,199